

2016 Western Region Captive Insurance Conference Schedule

“Captives in the New West”

| May 9 – Monday | |
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| 9:00 am – 5:00pm | Exhibitor Set-up |
| 12:00 pm - 5:00 pm | Registration/Onsite Office Open |
| 7:00 a.m.-4:30 p.m. | Offsite Activities - <i>Golf Sponsored by: GPW</i> |
| 5:00 pm - 6:00 pm | Annual Association Meetings AzCIA – UCIA – MOCIA - |
| 6:00 pm - 7:30 pm | Welcome Reception with Exhibitors |
| May 10 – Tuesday | |
| 6:00 am – 6:30 am | Annual Run <i>Route by Bacho Vega</i> |
| 8:00 am - 5:00 pm | Exhibit Booths Open |
| 7:30 am – 4:00 pm | Registration |
| 8:00 am - 8:45 am | Breakfast Buffet <i>Sponsored by: Larson and Company</i> |
| 9:00 am - 10:00 am | <p>Keynote Session <i>Sponsored by:</i></p> <p><u>TITLE</u> – What is the Future of War?</p> <p>Description – Jihadi terrorism, mass migration and displacement, drones and emerging military technologies, vastly increasing surveillance capabilities, climate change, genetic engineering: these and other issues are playing central roles in defining the future of war in our ever-more integrated global world. This talk will outline a series of trends within war and conflict, discuss potential scenarios and consider how these issues influence risk, liability and national and international security. Why it is almost certain that the future of war will present new challenges and unforeseen threats, there is much to learn by engaging the present with an eye towards what will be.</p> <p>Speaker: Daniel Rothenburg – ASU – Future of War College</p> <p>Session Captain: Conni</p> <p style="text-align: right;">NEED:</p> |
| 10:00 am - 10:15 am | Break |

Sponsored By: Active Captive, Madison Scottsdale and Strategic Risk Solutions

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| 10:15 am - 11:30 am | <p>Track A</p> <p><u>TITLE</u> Insurable Risk Vs. Business Risk</p> <p>Description - A survey of recent developments in the area of insurance risk versus non-insurable risks. We will discuss the recent case rulings including the Tax Court's Opinion in R.V.I. Guaranty v. Commissioner, as well as some of the historical rulings that are shaping current developments. We will also discuss current IRS positions taken in audits and issues raised by IRS auditors regarding insurance risk, including interview questions, information document requests and summonses issued during insurance controversies.</p> <p>Introduction by: Moderator: Panelists: Benjamin Peeler (have bio and h/s) Kacie Dillon (have bio and h/s)</p> <p>Session Captain: Ken Avery Need:</p> | <p>Track B</p> <p><u>TITLE</u> Corralling Employee Benefit Cost</p> <p>Description: A look at captive structures that help corral costs and review other structures that are currently on the open range. We inspect the MSL, ACA and ERISA captive brands in addition to foreign captive corrals.</p> <p>Introduction by: Moderator: Rae Brown Panelists: James Hoitt (bio/hs received), Arthur Koritzinsky (bio/hs received), Jeremy Huish (bio/hs received)</p> <p>Session Captain: Aaron Smith Need: None</p> |
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| 11:30 am - 1:00 pm | <p>Lunch Buffet <i>Sponsored by: Imperial PFS</i></p> <p><u>TITLE:</u> A Competitor's Perspective</p> <p>Bacho Vega is the regulatory manager at Artex Risk Solutions, Inc. Bacho is originally from Puerto Rico. He has found a passion for endurance events and has successfully competed in multiple marathons and triathlons. He is a four time Ironman finisher and a CrossFit Endurance certified coach. Bacho has completed a number of ultramarathons including Javelina 100, Western States, PR 150+ and Mogollon Monster 100. Bacho is a graduate of the prestigious Sealfit Kokoro camp (<i>the world's premier training camp for forging mental toughness and the warrior spirit based off of the famous Navy SEAL Hell Week concept</i>). In his time away from the work Bacho loves spending time with his wife and kids.</p> <p>Speaker: Bacho Vega (Have bio and H/s)</p> <p>Session Captain: Richard Amoroso</p> <p>NEED:</p> | |
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| 1:00 pm - 2:15 pm | Track A | Track B |
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| | <p><u>TITLE</u> How to Terminate or Close a Captive</p> <p>Description: While captive business plans usually call for the company to be around for many years, at some point in time it likely will need to be wound down for one reason or another. There is much more to doing that than just ceasing underwriting, involving many decision points from views of operations, regulatory, tax/accounting, actuarial, legal, risk management, etc. Two professionals from the captive management and accounting / audit disciplines present their experience in captive termination activities.</p> <p>Introduction by: Moderator: Panelists: John Riley (have bio and headshot) Bill Buechler (NEED bio and headshot)</p> <p>Session Captain: Jon Soules</p> | <p><u>TITLE</u> Captive Updates in the Law, Dodd Frank and 831b</p> <p>Description This session will be full of informative legal analysis and legislative changes effecting captives with discussions about latest ongoing developments. The first half of the session will be dealing specifically with the Dodd Frank changes including an in depth analysis on the NRRA and home state filings. The second half of this session will include a review of the recent 831b changes at the federal level and insights pertaining to ongoing conversations with Federal legislators on particular aspects of the law that remain unclear.</p> <p>Introduction by: Moderator: Panelists: Jeremy Huish (bio/hs received) Stewart Feldman (bio/hs received)</p> <p>Session Captain: BRENT HILL</p> <p>NEED:</p> |
| 2:15 pm - 2:30 pm | <p>Break <i>Sponsored by: Active Captive, Madison Scottsdale and Strategic Risk Solutions</i></p> | |
| 2:30 pm - 3:15 pm | <p>Track A</p> <p><u>TITLE</u> Review of Captive Domiciles From Formation to Liquidation</p> <p>Description: A captive's domicile will influence its operations as much as the type of program the captive operates. A panel of captive managers reviews and highlights the differences and similarities between different captive domiciles from formation to liquidation and will share some of their experiences in the process.</p> <p>Introduction by: Panelists: TJ Scherer (have bio/hs) Larry Prudhomme (have bio/hs)</p> <p>Session Captain: Bacho Vega</p> | <p>Track B</p> <p><u>TITLE</u> Workers Compensation Captives</p> <p>Description The ground rules for an effective workers compensation captive including start up considerations, operating it efficiently and managing claims. Our expert panel will be discussing their tricks of the trade from actuarial, management and hiring the right TPA for your captive.</p> <p>Introduction by: Panelists: Rae Brown (have last year's) Kyle Mrotek (have bio/hs)</p> |

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| | <p>NEED: Jerry Laval, Pacific Claims Management (Have bio and H/S)</p> <p>Session Captain: BRENT HILL</p> <p>NEED:</p> |
| 3:15 pm - 3:30 pm | <p>Break <i>Sponsored by: Active Captive, Madison Scottsdale and Strategic Risk Solutions</i></p> |
| 3:30 pm - 5:00 pm | <p>General Session</p> <p><u>TITLE:</u> A Town Hall Meeting with the IRS</p> <p>Description: The I.R.S. continues to attack certain 831(b) captive insurance programs. This panel begins by discussing I.R.S. audits of captive insurance managers, captive insurers and insureds. The panel reviews the theories used by the I.R.S. in the Notices of Deficiency, including the imposition of penalties. The panel continues this presentation with an overview of the I.R.S. Office of Appeals settlement positions and concludes with a review of U.S. Tax Court litigation.</p> <p>Introduction by: Moderator: Derek W. Kaczmarek (HAVE BIO & HS) Panelists: David R. Jojola (HAVE BIO & HS), Charles Lavelle (received bio/hs) Kacie Dillon (received bio/hs) The IRS is not readily permitting their attorneys to publicly speak. We're seeking approval.</p> <p>Session Captain: Richard Amoroso</p> |
| 5:30 pm - 8:30 pm | <p>Off-Site Top Golf</p> |
| 6:00 pm – 8:00 pm | <p>Cocktail Reception</p> |
| <p>May 11– Wednesday</p> | |
| 8:00 am – 12:00pm | <p>Exhibitor Booths Open</p> |
| 8:00 am - 8:45 am | <p>Breakfast Buffet</p> |
| 8:45 am - 10:15 am | <p>General Session</p> <p><u>TITLE</u> The Biggest Threats Facing our Industry</p> <p>Description: What are the biggest threats facing the captive industry? IRS? Federal and State regulations? Lack of training and education within the industry? This program will discuss some of the bigger threats facing the captive insurance industry. Along with viewpoints of the panelists, participants are highly encouraged to share their thoughts on the biggest threats facing the industry.</p> |

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| | <p>Introduction by: Moderator: Panelists: Chuck Cohen (use last year’s bio and h/s) and Brady Young Session Captain: PAM COTE</p> <p style="text-align: right;">NEED:</p> |
| 10:15 am-10:30 am | <p>Break <i>Sponsored by: Active Captive, Madison Scottsdale and Strategic Risk Solutions</i></p> |
| 10:30 am - 12:00 pm | <p>General Session TITLE: Regulator Round-Up</p> <p>Description A panel of western-state domicile regulators, fielding questions from the moderator and the audience regarding their state views on captives and the captive industry in general. This is an informal method of getting to know the panelists in a formal setting but without the constraints of prepared presentations.</p> <p>Introduction by: Bacho Vega Moderator: Panelists: 1. Nevada Regulator – Mike Lynch 2. Missouri Regulator – John Talley 3. Arizona Regulator – Vincent Gosz (have bio and headshot) 4. Utah Regulator – David Snowball (have bio and headshot) 5. Montana Regulator – Steve Matthews (have bio and headshot)</p> <p>Session Captain: Bacho Vega</p> <p style="text-align: right;">NEED: see speaker</p> |
| 12:30 pm - 2:00 pm | <p>Exhibitor Take Down</p> |